

▷ Read attentively and complete

- Document 1: Subscription form
- Document 2: General Terms + General risk warning concerning financial instruments

▷ Send

All the forms, completed, signed and requested documents to:
Keytrade Bank Luxembourg S.A. • 62 rue Charles Martel • L - 2134 Luxembourg

DOCUMENTS TO BE PROVIDED FOR ALL APPLICATION :

- A certified copy of the identity card (or passport) of each account holder. For each, the certification must be done by an authorized authority (for example: Police office, Town-Hall, Lawyer...). The copy must have been certified within the last three months. If you open the account in our office, the certification will be done by an employee.
If the certification cannot be done, please complete and sign the attached bank transfer order form (page 6).
According to the Regulation, we have to ensure that the first wire transfer originates from another account opened on your name in a bank in a country subject to equivalent professional obligations in the fight against money laundering and financing of terrorism in order to credit your Keytrade Bank Luxembourg S.A. account.
- A residence justification < 3 months, certificate of address done by a public and competent authority. If your tax country is different from your residence please provide a justifying document.
- Proof of your Tax identification numbers (TINs).
- Proof of your National Identifier (NI).
- Justifying documents as proof of origin of funds (e.g. copy of the sales contract, copy of the contract of employment, salary slip...).
- An original bank account identification ('relevé d'identité bancaire') to register your account on the secured site (wire transfer purpose).

After approval of your account opening request, you will receive your access to Keytrade Bank Luxembourg S.A.'s ('the Bank') secured web site.

▷ Make a deposit on your account

- By securities transfer : please contact us.
- By bank transfer : on one of these accounts (for other currencies, please contact us).

1 - Account in EUR

Banque de Luxembourg, swift BLUXLULLXXX
On the account n° LU82 0081 3337 6300 1003 Keytrade Bank Luxembourg S.A.
Communication : account number + name

2 - Account in USD

Banque de Luxembourg, swift BLUXLULLXXX
On the account n° LU50 0081 3337 6300 2840 Keytrade Bank Luxembourg S.A.
Communication : account number + name

More info: (+352) 45 04 39 or info@keytradebank.lu

FOR BANK USE ONLY:

- ☐ Mail ☐ Office
- ☐ Individual account ☐ Joint account

Account nr

Saving account nr

Approved by

Date

Signature:

▷ Subscription form

Where did you hear about Keytrade Bank Luxembourg?

☐ Press/Advertising
 ☐ TV
 ☐ Event
 ☐ Radio
 ☐ Internet
 ☐ Word of mouth

▷ Account holder 1 complete in capital letters

<input type="checkbox"/> Mrs		<input type="checkbox"/> Mr	
First name		Last name	
Address		Nr	
Postcode		City	
Country		Nr	
Private telephone		Mobile	
Private Fax		Office telephone	
E-mail			
Date of birth		Place and country of birth	
Nationality(ies)			
Tax identification number (TIN)		NI*	
Civil status	<input type="checkbox"/> Single	<input type="checkbox"/> Married	<input type="checkbox"/> Cohabiting
	<input type="checkbox"/> Separated	<input type="checkbox"/> Divorced	<input type="checkbox"/> Widowed
Profession/Activity	<input type="checkbox"/> Employee	<input type="checkbox"/> Self-employed	<input type="checkbox"/> Liberal prof.
	<input type="checkbox"/> RRetired	<input type="checkbox"/> Unemployed	
Please specify: Name and location of your employer (current or previous)			
Sector of activity			
Fonction			
Seniority			
<input type="checkbox"/> Student (Please indicate your choice of study and the name of your school in the field below)			
Do you hold, or have you held (apply also to any of your relative or close associate), any political mandate?*			
		<input type="checkbox"/> Yes	<input type="checkbox"/> No
If Yes,; Date on which office was taken up			
Date on which office will be relinquished			
Name of person, and relationship to you (in case of position held by someone else)			
Are you likely to obtain inside information on financial markets ? :			
		<input type="checkbox"/> Yes	<input type="checkbox"/> No
If yes, wich securities			
Login:			
Do you want to log in to the secure web site: :			
		<input type="checkbox"/> with a Softkey (recommended if you use a smartphone)	
		<input type="checkbox"/> with a Hardkey (recommended if you do not want to use a smartphone)	

▷ Signature(s)

Place date

Signature of the account holder 1

Signature of the account holder 1

* See annexe for details

▷ Account holder 2 complete in capital letters

<input type="checkbox"/> Mrs		<input type="checkbox"/> Mr				
First name			Last name			
Address					Nr	
Postcode		City		Country		
Private telephone			Mobile			
Private Fax			Office telephone			
E-mail						
Date of birth		Place and country of birth				
Nationality(ies)						
Tax identification number (TIN)				NI*		
Civil status	<input type="checkbox"/> Single	<input type="checkbox"/> Married	<input type="checkbox"/> Cohabiting	<input type="checkbox"/> Separed	<input type="checkbox"/> Divorced	<input type="checkbox"/> Widowed
Profession/Activity	<input type="checkbox"/> Employee	<input type="checkbox"/> Self-employed	<input type="checkbox"/> Liberal prof.	<input type="checkbox"/> RRetired	<input type="checkbox"/> Unemployed	
Please specify: Name and location of your employer (current or previous)						
Sector of activity Fonction Seniority						
<input type="checkbox"/> Student (Please indicate your choice of study and the name of your school in the field below)						
Do you hold, or have you held (apply also to any of your relative or close associate), any political mandate?*						<input type="checkbox"/> Yes <input type="checkbox"/> No
If Yes,; Date on which office was taken up Date on which office will be relinquished						
Name of person, and relationship to you (in case of position held by someone else)						
Are you likely to obtain inside information on financial markets ? :						<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, wich securities						
Login:						
Do you want to log in to the secure web site :				<input type="checkbox"/> with a Softkey (recommended if you use a smartphone)		
				<input type="checkbox"/> with a Hardkey (recommended if you do not want to use a smartphone)		

▷ Signature(s)

Place date

Signature of the account holder 1

Signature of the account holder 1

* See annexe for details

▷ Bank account number

On the secured site, you can give cash transfer instructions online from your account with Keytrade Bank Luxembourg S.A. to another bank account held by one of the account holders or jointly by both account holders, as specified hereunder. If you do not give us a bank account number, you can only request a cash transfer by original signed document along with copy of an identity card.

☐ Please register my Bank account mentioned on the statement enclosed.

▷ Investor profile/ Financial situation

Which amount of your financial assets do you intend to invest via Keytrade Bank Luxembourg S.A.?

What is your total monthly net income (all income sources taken into account)?

Please specify the economic and geographical origin of the funds that will be credited to your accounts:

☐ Professional income: Indicate the period:

☐ Sale of a property : indicate the year of the sale :

☐ Sale of goods:

- indicate the year of the sale :

- indicate which kind of goods (work of art, shares in company, ..):

☐ Legacy : - indicate the date of the death :

- indicate the relationship with the deceased person:

☐ Donation: - indicate the date of the donation :

- indicate the relationship with the donator :

☐ Capital pension;

☐ Rental income ;

☐ Other : specify :

Geographic origin:

A form about your knowledge and experience with financial product (MIFID profile) needs to be filled in directly on the secured platform.

▷ Financial information (compulsory)

- You sign, on your behalf and for your account, and not on behalf or for the benefit of a company, an association, a partnership or a trust.
 - You exclusively use the financial information for your personal investment activities, and not in relation to any professional or commercial activities.
 - You are neither recorded nor approved at the "Securities and Exchange Commission", at the "Commodities Futures Commission", a Stock Exchange or an association of quotation of transferable securities, a regulated market or any equivalent organization abroad, nor owner of or partner in any of the preceding ones.
 - You receive the information only to the address mentioned on the account opening form and you do not provide this information to other people.
- Moreover you acknowledge
- to have read and accepted to be bound by "Nasdaq Consolidated Subscriber Agreement", a copy of which can be found on the site www.keytradebank.lu,
 - that you are not an agent of Nasdaq and that you are not authorized to add, remove or modify clauses of "Nasdaq Consolidated Subscriber Agreement",
 - to have read and accepted to be bound by the NYSE and AMEX Agreements, a copy of which can be found on the site www.keytradebank.lu.

Read and approved,

Signature Titulaire 1

Read and approved,

Signature Titulaire 2 (si d'application)

► Déclarations

The customer(s) declare(s)

- to have the legal capacity to conclude this contract and to manage his/her (their) portfolio,
- to have received and read the general terms and the overview of the characteristics and essential risks of the financial instruments, that he/she (they) will respect and accept all the clauses contained therein,
- to act for his/her (their) own account, and in the opposite, he/she (they) will state to the Bank the identity of the third party for which he/she (they) act(s),
- to guarantee by his/her (their) signature that the assets held, or to be held at any time, on his/her (their) account have no criminal origin, are the product of a legal activity and will not be used for money laundering. être un «Non-US Person» (cf. Déclaration ci-après),
- to be a "Non-US Person" (cf. declaration below),
- that the information contained in this document is complete and accurate, and that he/she (they) will inform the Bank about any changes including the status of a "Non-US Person" and the declaration of non-professional user,
- to have appropriate knowledge and experience with regards to his/her investment decisions and to be aware that no advice will be provided by the Bank,
- to assume the responsibility and all the risks relating to the orders which will be transmitted to the Bank,
- the Bank will not assume any liability for the customer's investment decisions and the financial consequences of these trades,
- to accept that any orders are carried out in the way they were encoded,
- to have a sufficient IT and Internet knowledge to use the services.
- that he/they will not resell or repeat, in any way whatsoever, the financial information delivered on the site.
- to recognize that the Bank has the right, without being required to justify its decision, to refuse to open an account, for whatever reason including
- if the information is incomplete, the Bank may request any additional documents deemed necessary.

Personal data will be processed by Keytrade Bank Luxembourg when processing your request and when managing your account opening, as well as for the purposes described in the Privacy Policy. Please see this Policy for more information about the collection, recording and processing of your personal data, as well as about your rights to access, rectify, oppose, delete the data, limit the processing thereof and your right to data portability.

Read and approved,

Date and signature of the account holder 1

Read and approved,

Date and signature of the account holder 2 (if applicable)

► Acceptation of the special terms

The customer(s) declare(s) to accept expressly the clauses of the General Terms containing limitations of responsibilities, rights of unilateral termination or differed execution and one jurisdiction clause in favor of Keytrade Bank Luxembourg S.A. This concerns the articles 2,3, 6, 10, 23, 24, 25, 26, 27, 29, 35 et 43 of the General Terms of Keytrade Bank Luxembourg S.A.

Read and approved,

Date and signature of the account holder 1

Read and approved,

Date and signature of the account holder 2 (if applicable)

► Declaration of «Non-US Person»

The following declaration allows Keytrade Bank Luxembourg S.A. to confirm to the American authorities that its clients are neither American citizens nor American residents, in order to benefit from the favorable treatment in relation to withholding tax on dividends originating from American securities. Each signatory additionally declares that he/she allows this form to be submitted to any competent authority

The undersigned declares and confirms to Keytrade Bank Luxembourg S.A. the following information:

	Account holder 1		Account holder2	
Are you a US citizen (single or double nationality) ?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Have you got a residence in the USA or a permanent US residence permit ?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Which is your tax address - country ?			

- The subscriber declares that he is the beneficial owner of the assets and income confided or to be confided to Keytrade Bank Luxembourg S.A.
- The subscriber declares that he will inform Keytrade Bank Luxembourg S.A. immediately of all changes in the above-mentioned information, especially relating to his nationality, residence and tax address. If he does not notify the Bank in time, he will be responsible for any prejudice caused by this. As a result of American regulation and legislation, the Bank does not provide any services to US persons and therefore will accordingly be authorised to bring an end to its customer relationship.
- Under penalties or perjury, the signatory confirms that the information given in this document is complete, accurate and genuine.

Read and approved,

Date and signature of the account holder 1

Read and approved,

Date and signature of the account holder 2 (if applicable)

Bank transfer order

Sir, Madam,

Please execute the following request of fund transfer

Amount:

▷ Applier

☐ Mrs ☐ Mr

First name Last name

Address N°

Postcode City Country

▷ Debit account (complete or join a Bank statement mentioning the Bank address))

Bank name

Address N°

Postcode City Country

Bank bic or swift code IBAN account n°

▷ Credit account please select

☐ EUR account Banque de Luxembourg, swift BLUXLULLXXX
For account n° LU82 0081 3337 6300 1003 of Keytrade Bank Luxembourg S.A. Communication (account number + name)

☐ USD account Banque de Luxembourg, swift BLUXLULLXXX
For account n° LU50 0081 3337 6300 2840 of Keytrade Bank Luxembourg S.A. Communication (account number + name)

▷ Signature

Place date

For approval,

Signature of the applier

► Annexes, References

EXPLANATORY NOTE ABOUT PERSONS WITH A POLITICAL PROFIL

Persons with a Political Profile are:

- The natural persons exercising an important public fonction (more particularly persons practising or having exercised the highest international, national and regional functions – during the last twelve months.).

This category includes the following people :

- Heads of State, government leaders, ministers, deputy ministers and secretaries of state;
- members of Parliament ;
- lthe members of the supreme courts, of the constitutional courts or of other senior courts whose decisions cannot normally be appealed ;
- members of the Court of Auditors and the directors of central banks;
- ambassadors, chargés d'affaires and senior military officers
- members of the management or supervisory boards, or boards of directors of public companies.
- Direct members of the families of these persons such as:
 - Spouses;
 - A partner who under national law can be reasonably equated to a spouse (for example a legally cohabiting partner);
 - Children and their spouses or partners;
 - Parents.
- Or the persons closely associated with these persons namely:
 - any natural person of whom it is known that they are, together with the person with a political profile, the joint beneficiary owner of legal entities or structures, or is connected with said person through other close business links;
 - any natural person who is the sole beneficiary owner of a legal person or a legal structure of whom it is known that it was created de facto to benefit a person with a political profile.

US PERSON

The term US Person must be interpreted in accordance with current American law and the Beneficial Owner bears full responsibility for ascertaining whether the Beneficial Owner is classified as a US Person or not. If in doubt, the Beneficial Owner must contact a tax adviser. If the company (or its Beneficial Owners) has (have) US index instruments, it must provide Keytrade Bank Luxembourg S.A. with the required documentation in order to confirm or invalidate its US Person status

TAX IDENTIFICATION NUMBER (TIN)

Financial institutions are required to register the name and address of the account holders and, if there is one, the tax identification number allocated for tax purposes by the Member State of residence.

Please visit the European Commission website (https://ec.europa.eu/taxation_customs/tin/tinByCountry.html?locale=fr) or the OECD website (<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/>) or contact the tax authorities of your country of residence for tax purposes.

NATIONAL IDENTIFIER (NI)

The National Identifier is an individual, unique code for identifying natural persons and is based on their nationality.

This is a requirement coming from the revised European Union (EU) Directive regarding Markets in Financial Instruments (MiFID II) and its associated Regulation (MIFIR), as well as the European Market Infrastructure Regulation (EMIR) which obliges banks to declare to their control authority financial instruments transactions of its clients by identifying its client companies.

This requirement for identification applies to purchase and selling transactions (including outside the stock exchange), transfer of securities, etc.

National Identifier - Countries List

Country	National Identifier
Belgium	Belgian National Number (Numéro de registre national - Rijksregisternummer)
Bulgaria	Bulgarian Personal Number
Czech Republic	National Identification Number (Rodne číslo)
Denmark	Personal Identity Code (CPR number)
Estonia	Estonian Personal Identification Code (Isikukood)
Spain	Tax Identification Number (Codigo de Identificación Fiscal)
Finland	Personal Identity Code
United Kingdom	UK National Insurance Number (NINO)
Greece	10 Dematerialised Securities System (DSS) digit investor share
Croatia	Personal Identification Number (OIB- Osobni identifikacijski broj)
Iceland	Personal Identity Code (Kennitala)
Italy	Fiscal Code (Codice fiscale)
Lithuania	Personal code (Asmens kodas)
Latvia	Personal Code (Personas kods)
Malta	National Identification Number
Norway	11 digit Personal id (Foedselsnummer)
Poland	National Identification Number (PESEL)
Portugal	Tax Number (Número de Identificação Fiscal)
Romania	National Identification Number (Cod Numeric Personal)
Sweden	Personal Identity Number
Slovenia	Personal Identification Number (EMSO)
Slovakia	Personal Number (Rodné číslo)
Austria, France, Germany, Hungary, Ireland, Luxembourg	Not required (NI created with internal data by the bank)
All other countries	National Passport Number